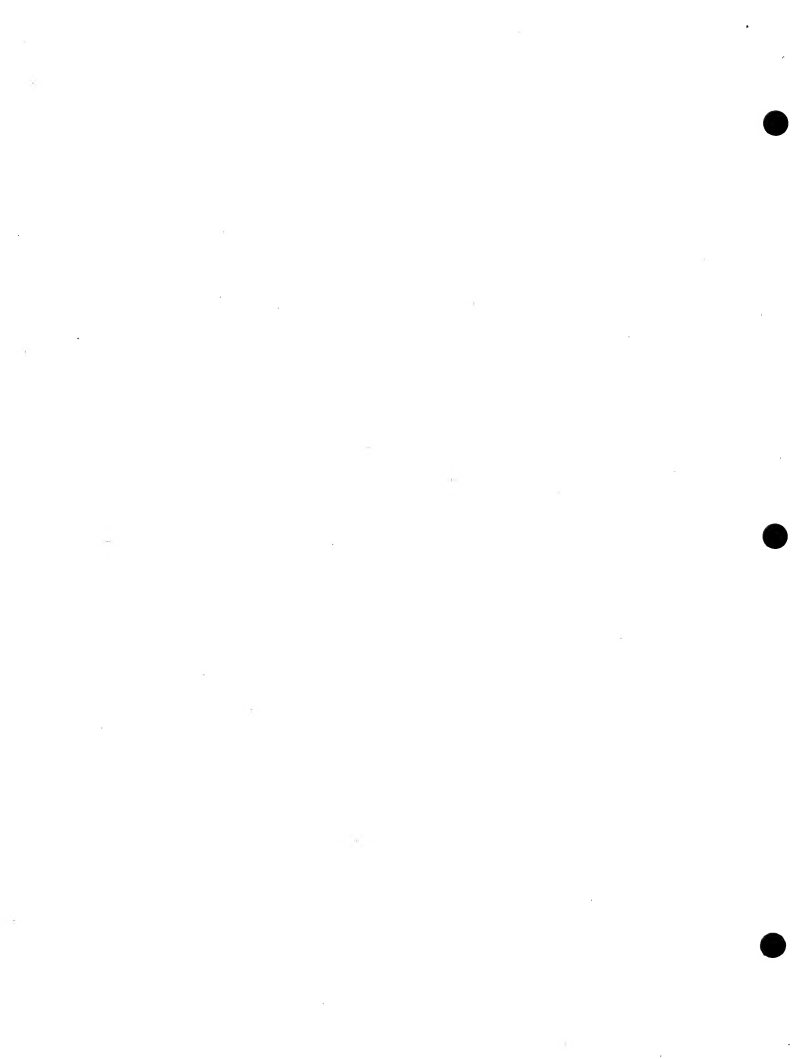


Proposal for a National Census Test  
of the 1996 Census Questionnaire

Pamela White, 1996 Census Content Manager  
Jean-Pierre Morin, SSMD  
Phil Stevens, Special Surveys Group, HSD

September 15, 1993



CONTENTS

- A. Introduction
- B. Test Rationale
- C. Test Objectives
- D. Study Design
- E. Analytical Methods
- F. Data Collection and Processing Methodology
- G. Limitations of the National Census Test
- H. Costing Assumptions

Appendix 1. Outline of Proposed Changes to the 1991 2B Questionnaire

This proposal could not have been drafted without the help of the following:

S.O.D.: Doug Hicks, Marsha Parnes, Gilles Fréchette, Anne Taller, Brian Williams,  
Fil McLeod, Inge Roeder

SSMD: John Lindeyer

Census Content: Larry McKeown, Liette McNulty

Census Ops: Rosalie Bambrick, Peter Elliott, Karen Thériault

ISDD: Dave Bowman

## **A. Introduction**

This document describes the objectives, sampling methodology, strategy, resource requirements and schedule for the November, 1993 National Census Test of the 1996 Census 2B questionnaire. This test is the major component of the testing strategy designed for the Census of 1996. The National Census Test content reflects the requests made to the Content Determination Project during the 1992/93 round of 1996 Census content consultations. New questions were submitted to focus group testing held prior to the National Census Test.

## **B. Test Rationale**

The 1996 Census Content Determination Project specified that all new content questions were to be tested prior to the 1996 Census. The proposed 1996 National Census Test provides the sole questionnaire testing opportunity available to the 1996 Census Content Project. This test would feature all new 1996 Census content, as well as additional questions required for data analysis purposes.

As well, there is doubt as to the usefulness of the Guide to the 2B form. Review of 1991 collection experiences, in particular of questions to the Telephone Assistance Service (T.A.S.), suggests that many respondents did not use the Guide or found it confusing. It is proposed therefore that the National Census Test include questions on the perceived usefulness of the Guide.

The sample size, as noted in Section D of this document, is sufficient to detect major response errors. The quality of question response would be evaluated by subject matter experts as specified in their data analysis plans.

While content changes are expected to be limited, there appear to be enough question changes to warrant the testing of all census content areas. It is for this reason that the National Census Test includes a nationally representative sample, rather than a modular test which would have a more limited scope and capability.

Based on content consultations to date, 1991 Census data evaluations and focus group work done in the 1992/93 fiscal year, the proposed 1996 National Census Test would be testing changes to the following content and coverage areas:

- Steps 1-8 (coverage changes)
- Relationship to person 1
- Ethnic ancestry and race
- Identification of aboriginal respondents
- Unpaid work
- Language
- Place of work.

Areas identified for change are noted in Table 1 (see Appendix 1).

It should be noted that the test is not to be a test of data capture methods, revised field edits or likely 1996 Census processing methods.

### C. Test Objectives

The main objectives of the 1996 National Census Test are:

- i. To test the 2B questionnaire containing potential proposed 1996 Census content using collection methods as close as possible to those expected to be used in 1996.
- ii. To evaluate respondents' understanding of the wording and the key concepts of each item on the questionnaire, and in particular:
  - a) the validity of response;
  - b) difficulties associated with the response;
  - c) any problems with the order of the questions;
  - d) any problems associated with the language of the questionnaire (English/French).
- iii. To evaluate respondent reactions to the questionnaire whenever possible, and, in particular, to identify new sensitive items on the questionnaire and special areas of response burden.
- iv. To evaluate any parameters that might be useful for the design and management of 1996 field operations, e.g. rates of failure to the field edits used in the Test, response rates, etc.
- v. To assess the utility of the Census Guide, which is to be rewritten into a more simplified language.

### D. Study design

#### 1. Advanced Labour Force Survey sample

##### i. Sample design

The sample for the National Census Test will consist of a sample of dwellings "rotated-out" (i.e. finished with) from the Labour Force Survey in September, October and November 1991, equivalent to three one-half rotation groups. It is felt that the effect on householders of being in the LFS will have faded enough after two years that no bias is likely to occur in test results. Besides, some number of the dwellings will have new residents who have never been in the LFS.

This approach allows the use of the LFS sampling and estimation infrastructures which considerably reduces the costs of the test and, at the same time, insures a good level of quality for the data. However, one should note that the household coverage of the LFS is different from the target population of the Census. Consequently, the National Census Test coverage will not include Indian Reserves, institutional residents, military personnel, Yukon and the Northwest Territories, and remote areas of some provinces.

The approximately 17,100 dwellings in these LFS rotation-groups should include approximately 14,700 occupied private households. If we assume a final response rate of 80% for the test and an average number of persons in private households by province equal to the 1991 Census counts (cf. Dwellings and Households, The Nation, Catalogue 93-311), the following table provides an approximate sample size of respondent households and persons by province.

Province	Number of Responding Households	Number of persons
Nfld.	546	1747
P. E. I.	286	801
N. S.	798	2155
N. B.	710	1988
(Atlantic)	(2340)	(6691)
Qué.	2219	5769
Ont.	3373	9107
Man.	786	2044
Sask.	893	2411
Alta.	1078	2911
(Prairies)	(2757)	(7366)
B. C.	1051	2733
<b>TOTAL</b>	<b>11740</b>	<b>31666</b>

This sample should produce very reliable estimates at the national level, and good quality estimates at the provincial level (at least for the larger provinces). However, cross tabulations would obviously be limited in magnitude as well as estimates for rare

populations, particularly at the provincial level. In order to provide a quantitative indication of the reliability that might be expected for estimates derived from the National Census Test sample, an "average" coefficient of variation for the estimate of the total number of persons with a characteristic of interest is estimated. Its calculation assumes a LFS design effect of 2 which is reasonable for most of the Census variables. One should note however that this would lead to an overestimation of the reliability for some variables such as some language and ethnic groups. The clustering of the population according to these variables tends to increase the sampling variability of the estimate under the sample design of the LFS.

We have considered a coefficient of variation of 16.5% which is used by the LFS as a cut-off to qualify estimates. Published estimates with a coefficient of variation greater than 16.5% must have cautionary notes associated with them, informing the reader of the high sampling variance associated with the estimate. The following table gives, for every province and for regional groupings, the proportion and the total number of persons with a characteristic of interest corresponding to a coefficient of variation of 16.5%.

Province	Minimum Percent	Minimum Total
Nfld.	4.7	26239
P. E. I.	8.6	10818
N. S.	3.3	28710
N. B.	3.6	25857
(Atlantic)	(1.1)	(25836)
Qué.	1.2	80763
Ont.	0.8	78261
Man.	3.3	35086
Sask.	2.9	28183
Alta.	2.4	60492
(Prairies)	(1.0)	(43564)
B. C.	2.5	80189
<b>TOTAL</b>	0.2	60878

For example, in Ontario, where the sample size is the largest, if the estimate for a characteristic of interest is higher than 78,261 (or represents more than 0.8% of the population), the coefficient of variation of the estimate would be lower than 16.5%.

Conversely, if the estimate is under 78,261 the coefficient of variation would be higher than 16.5%. In Prince Edward Island, where the sample size is the lowest, if the estimate for a characteristic of interest is higher than 10,818 (or represents more than 8.6% of the population), the coefficient of variation of the estimate would be lower than 16.5%, and vice versa.

Confidence intervals are another way of considering the reliability of estimates and can also be estimated from the table. A 95% confidence interval for an estimate is given approximately by

$$[\text{estimate} \pm 2 \times \text{estimate} \times \text{CV}]$$

For example, a 95% confidence interval for an estimate of 78,261 in Ontario would be [52,435, 104,087] and [0.5% , 1.1%] for the corresponding percentage of 0.8%. As a rule of thumb, the confidence interval would be wider for a lower estimate and narrower for an higher estimate. In PEI, a 95% confidence interval for an estimate of 10,818 would be [7,248, 14,388] and [5.8% , 11.4%] for the corresponding percentage of 8.6%.

## ii. Edit Failure Study

An Edit Failure Study consists of an analysis of the questionnaires received in the Regional Offices before any follow-up to correct for field-edit failures. This study permits estimation of initial response rates and will provide a direct measure of difficulties encountered during self-completion. Also, a comparison with field-edit results provides a basis for explaining item non-response and response errors. For field operations planning, the Edit Failure Study yields an estimate of the percentage of questionnaires that would require a follow-up during normal field operations, thus collection costs for 1996 can be estimated.

An Edit Failure Study requires the data capture of questionnaires prior to field edits. There will be two data capture operations in the Regional Offices: one for a sample of the questionnaires received by mail, before field edits; and one for all questionnaires after follow-up for non-response or field-edit failures. The questionnaires captured before field edits would then be returned to interviewers for field edits and any follow-up required because of edit failures.

For the Edit Failure Study, a sub-sample of one-half of all dwellings will be selected. The sample will be further limited by including only questionnaires mailed back directly to Regional Offices, with no interviewer intervention. Based on 1991 results, we expect about 50% of forms will be mailed in. As a result, the Edit Sample Study will have a response of about 4280 dwellings.

## 2. Special population samples.

The LFS sample is aimed at representing Canada's population (excluding those residing in areas or institutions not sampled by the LFS). However, the National Census Test LFS based sample will not include a sufficient number of respondents belonging to special populations, such as aboriginal peoples living off reserve, recent immigrants, and ethnic, linguistic and visible minorities.

Supplementary samples containing high concentration of people belonging to these special populations will be included in the National Census Test. These samples will come from the Visitation Records of the 1991 Census corresponding to enumeration areas with concentrations of target populations. It is likely that the neighbourhood characteristics would remain relatively stable in spite of the 2½ years between the completion of the VR and the National Census Test field operations. These supplementary surveys would not provide random samples of the special populations or comparable estimates with other surveys. They should however provide a reasonable assessment of the census questionnaire and the identification of problems particular to these populations.

The twelve special population samples proposed are:

- Blacks in Montréal (e.g. Haitian, African);
- Blacks in Toronto and Halifax (e.g. Canadian, Caribbean, African, Guyanese);
- Asians in Montréal (e.g., Vietnamese);
- Latin Americans in Montréal;
- Asians in Toronto and Vancouver;
- Aboriginals in Winnipeg, Regina and Edmonton;
- Métis in Winnipeg and Saskatoon.

A sample of around 200 responding households per target population should be enough for the purposes of the study. With the exception of the Métis samples, the sample has been systematically taken from contiguous EAs with a high concentration of people who belong to the population considered. The sample should be spread out into several EAs to avoid concentration of the sample in a neighbourhood that might be particularly difficult to interview. To compensate for non-response and vacant households, the sample size should be inflated to 300 households, thereby obtaining a good balance between the number of follow-ups required and the final sample size. The Métis dwellings in Winnipeg and Saskatoon will be chosen from membership lists of provincial Métis Associations, since Census results indicate this population is scattered. The total sample size proposed for these special populations would be about 4000.

## E. Analytical methods

In order to achieve the objectives of the National Census Test, it is suggested that the following methods would be used to analyze the results.

### 1. Respondent understanding

- i. Comparison of the National Census Test estimates with those from other comparable sources (e.g. LFS, 1991 Census, General Social Survey, etc.);
- ii. Cross tabulations of related questions to evaluate the consistency of the responses;
- iii. Analysis of the final response rates as well as initial rates through a special study similar to the Edit Sample Study;
- iv. Analysis of debriefing interviews with interviewers related to field-edit failures and follow-up interviews.
- v. Inclusion in interviewer tasks of a report on respondent comprehension during the collection process (telephone and personal interviews as well as follow-up). A written or oral debriefing after the test, using a debriefing questionnaire, would be used to summarize the information;
- vi. Separate analysis of the special population samples.

## 2. Respondent reaction

- i. The National Census Test questionnaire includes questions related to respondent impressions of the questionnaire content, wording and layout. These questions could also include such topics as the need to use the questionnaire guide and evaluation of it's usefulness, difficulties in answering or negative reactions to particular questions, reasons for item non-response, questions about whom to include, and comments on field operations.
- ii. Interviewer debriefing on respondent reactions during telephone and personal interviews and follow-up for field-edit failures;
- iii. Analysis of the Census Help Line report (number of calls, dates and times, durations, topics, etc.)

## 3. Measuring field operations parameters

- i. The study would consist of ensuring that proper measurements of collection resource use are made in the field. These would be summarized for SOD needs. The main source of information would be the proposed Edit Failure Study.
- ii. We will also include in interviewers' tasks a report on collection

activities for each household in the assignment. This report will include such information as frequency of contact at drop-off, incidence of provision of telephone numbers for follow-up, reasons for refusal, whether questionnaire returned by mail or not, etc. These reports would be captured and analyzed for SOD planning needs.

## **F. Data Collection and Processing Methodology**

1. For cost and timing reasons, we do not propose that more than one version of the questionnaire would be tested. This means that one standardised best-guess set of questions must be developed for the content. Response would be mandatory.
2. Questionnaires for LFS and special samples would be dropped off by interviewers, with a return envelope for mail-back to ROs by a deadline date. Contact would be attempted with a household member at drop-off, to determine the official-language preference, explain the test, and to obtain a telephone number for follow-up if needed. In case of non-contact, interviewers would leave questionnaires in both English and French. There would be no advance letter to the household; instead, an introductory letter from the Chief Statistician, explaining the test objectives, would appear on the front page of the questionnaire. It is critical that self-enumeration be encouraged in the test, thereby simulating as closely as possible the questionnaire completion conditions of a Census. Interviewers would make follow-ups to non-response and failed-edit households, first by telephone and then in person. Up to three visits would be attempted.
3. A Census Help Line (CHL)(renamed from 1991's Telephone Assistance Service) will be set up in Ottawa for the period of data collection.
4. Interviewers hired for the Test would be used. ROs would be asked as much as possible to seek interviewers with survey experience. We estimate that about 300 interviewers would be enough for the Test.
5. Returned questionnaires would be logged-in and returned to interviewers for field edits. For the Edit Failure survey, data would be captured from a sample of returned questionnaires before any edits would be conducted. Resources consumed would be used to estimate resources needed in 1996. Minimum field edits would be carried out, and they would be based on expected 1996 edits. Questionnaires failing the edits would be followed up by interviewers. Records would be kept of non-response rates and edit failures, for analytical comparison with 1991 rates. Since there would be no re-interview component to the National Census Test, it is crucial for the aim of the Test that the field edits detect the kind of response or response errors or inconsistencies. Any information related to reasons for non-response or response error, collected by interviewers during follow-up interviews, should be properly registered for further analysis. Debriefing of interviewers will permit subject matter analysts to further analyze response problems.

6. Completed questionnaires would be groomed, then captured in the ROs using the DC-2 capture system, then transmitted to HO for further processing.

7. Selected write-in responses will be captured and machine-coded. At time of writing, the write-ins planned for capture and coding would be:

- languages spoken
- language of education
- language of work
- mother tongue
- ethnic ancestry
- race
- place of birth
- citizenship
- residence 5 years ago
- place of work
- aboriginal or Indian band.

Relationship to Person I, occupation and industry questions will be captured but not autocoded.

The following write-in entries will not be captured:

- residence 1 year ago
- home language

8. Data would be subject to a custom-written edit program, to be developed with subject-matter experts, that would provide counts of numbers of records with and without each error condition. The file would not be subject to edit and imputation. There would be no attempt to impute missing values apart from age and sex, which are needed for weighting. For all other cases, non-response codes would be inserted. Output files would be person-records, with household and dwelling data on each record for members of a household. Household weights would be developed and added to each record. A limited number of derived variables would be created to facilitate analysis.

#### **G. Limitations of the National Census Test.**

Because of restrictions already mentioned, the National Census Test would have some limitations as a device for testing the questionnaire content of the 1996 Census.

1. The sample size of the test would allow precise comparisons mainly at the national level and to some extent at the provincial level, at least for the larger provinces.

Consequently, errors or inconsistencies for small geographical area estimates or rare-event estimates would likely not be detected by the proposed study. However, beyond a certain level, any increment in precision related to sample size augmentation is very small. Consequently, the requirement for small level comparisons is probably incompatible with the scope of a content test, at least under the restrictions imposed on the content test of the 1996 Census.

2. Since there would be no public communications activities in support of the test, it would be more difficult to provide respondents with general awareness of the test, the reasons why they should complete the forms and to remind them to send them back in the days immediately following the Test Census Day. In addition, public communications contributes to general awareness of CHL and encourages people to use this service. Finally, the absence of public communications, means that activities that assist special groups would not be in place and might reduce their participation. These constraints however occur with any pre-Census test. It is not possible to re-create the media and public awareness that is generated during the Census enumeration period.

3. Even if all efforts are undertaken to simulate the conditions under which the Census is carried out, this goal cannot be achieved. As a result, all conclusions from the National Census Test would need to be qualified as to the degree to which they might be applicable to the 1996 Census.

4. Cross-tabulation analysis of respondent answers would be one of the main devices for identification of response errors and inconsistencies. However, since it is planned to test what is likely to be the 1996 Census questionnaire, the space available for additional questions for analysis purposes would be very limited and only selected topics would be considered under this approach.

5. Because of cost and time constraints, there will be no opportunity to test more than one version of a question. Response errors in the test would have to be substantial for any decision on further rewording of questions, given that there would be time for only very limited testing after May 1994. The choice therefore would primarily be between the 1991 questions and the 1996 National Census Test versions. Users can be warned in advance of 1996 of the types and possible extent of response error that, from test results, may be associated with certain questions.

6. The target population of the LFS is the general Canadian population; however, the LFS excludes such areas as the Northern Territories, institutions, Indian Reserves and the military. This implies that special populations such as aboriginals would constitute a small portion of the sample. This limitation would be compensated partly through the special samples described in section D 2.

7. There would be no re-interview to evaluate the general validity of the information provided by respondents. However, some sense of validity would be obtained through

debriefing interviewers on the follow-up for field-edit failures.

8. Because of restrictions imposed on data processing, operations such as editing, coding, imputation and development of derived variables would be far more limited than for the 1991 or 1996 Censuses.

#### H. Costing Assumptions

Cost estimates are based on the data collection and sampling methodology described above, with the following added assumptions:

20,740 potential dwellings (main survey plus special samples) to be visited.

18,000 of the dwellings would be found to be occupied.

9,000 questionnaires (from 50% of the households) would be returned by mail.

2,700 (30% of non-mail-returns) would be followed up successfully by telephone; 21 interviewer-minutes per household, including call-back time.

6,300 (70% of non-mail-returns) would receive personal follow-ups, requiring 16 interview-minutes plus 12 minutes travel-time per visit, with an average 2 visits per household; total 40 minutes per household.

6.3 minutes editing-time for the 9,000 mail-response questionnaires.

61% (5500) of the mailed-back questionnaires would fail edits.

A successful telephone follow-up would be made to 72% (4000) of the mailed-back questionnaires that fail edits, taking 6 minutes. The remaining 28% (1500) would receive three telephone calls at 2 minutes each, plus seven personal-interview minutes plus two 12-minute trips, for a total of 37 minutes.

The average interviewer-rate would be \$12/hr for an expected 300 interviewers, plus \$15/hr for 40 senior interviewers.

Data would be captured and processed through to a raw person-file (mainframe or micro), "edited" to give error-condition counts.

STATISTICS CANADA LIBRARY  
BIBLIOTHEQUE STATISTIQUE CANADA



1010232797